

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

09-20-01

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	1958.1005-001
	First Named Inventor or Application Identifier	James E. Carey
	Express Mail Label No.	EL551754370US

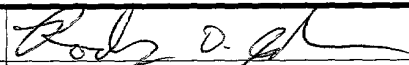
Title of Invention	COMPUTING SYSTEM FOR IMPLEMENTING A SHARED CACHE
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<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <b>[Total Pages [ 33 ] ]</b> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to microfiche Appendix - Background of the Invention - Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>[Total Sheets [ 10 ] ]</b> <input checked="" type="checkbox"/> Formal <input type="checkbox"/> Informal <input type="checkbox"/> Fig. of the Drawings for Publication <input type="checkbox"/>  4. <input checked="" type="checkbox"/> Oath or Declaration/POA <b>[Total Pages [ 3 ] ]</b> a. <input type="checkbox"/> Newly executed (original or copy)  b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <b>[NOTE Box 5 below]</b> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input checked="" type="checkbox"/> Incorporation By Reference <i>(useable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i>  7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) <b>[   ] Pages</b> c. <input type="checkbox"/> Statement verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></td> </tr> <tr> <td style="width: 50%;">8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)</td> <td style="width: 50%;">[   ] Assignee -</td> </tr> <tr> <td colspan="2">9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney  <i>(when there is an assignee)</i></td> </tr> <tr> <td colspan="2">10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></td> </tr> <tr> <td>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</td> <td><input type="checkbox"/> Copies of IDS Citations</td> </tr> <tr> <td colspan="2">12. <input type="checkbox"/> Preliminary Amendment</td> </tr> <tr> <td colspan="2">13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <i>(Should be specifically itemized)</i></td> </tr> <tr> <td>14. <input type="checkbox"/> Small Entity Statement(s)</td> <td><input type="checkbox"/> Statement filed in prior application, status still proper and desired</td> </tr> <tr> <td colspan="2">15. <input type="checkbox"/> Certified Copy of Priority Document(s)  <i>(if foreign priority is claimed)</i></td> </tr> <tr> <td colspan="2">16. <input checked="" type="checkbox"/> Nonpublication Request <i>(check parent application)</i></td> </tr> <tr> <td colspan="2">17. <input checked="" type="checkbox"/> Other: <u>Remarks letter</u></td> </tr> </table>	<b>ACCOMPANYING APPLICATION PARTS</b>		8. <input type="checkbox"/> Assignment Papers (cover sheet & documents)	[   ] Assignee -	9. <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>		10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations	12. <input type="checkbox"/> Preliminary Amendment		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		14. <input type="checkbox"/> Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application, status still proper and desired	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		16. <input checked="" type="checkbox"/> Nonpublication Request <i>(check parent application)</i>		17. <input checked="" type="checkbox"/> Other: <u>Remarks letter</u>	
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17. <input checked="" type="checkbox"/> Other: <u>Remarks letter</u>																							

18. <b>If a CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information:			
[ <input checked="" type="checkbox"/> ] Continuation	[   ] Divisional	[   ] Continuation-in-part (CIP)	of prior application No.: 08/866,619
Prior application information: Examiner: Bataille, P.		Group Art Unit: 2186	

<b>19. CORRESPONDENCE ADDRESS</b>					
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Signature		Date	September 19, 2001
Submitted by Typed or Printed Name	Rodney D. Johnson	Reg. Number	36,558

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	1958.1005-001
	Application Number	
	First Named Inventor	James E. Carey

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	82 - 20* =	62	x \$ 18 =	\$ 1116
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	7 - 3** =	4	x \$ 80 =	\$ 320
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 2146
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
				TOTAL =	\$ 2146
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 2146


1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 2146 ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	September 19, 2001
Submitted by Typed or Printed Name	Rodney D. Johnson	Reg. Number	36,558


# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>NONPUBLICATION REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	James E. Carey
	Attorney Docket No.	1958.1005-001
	Express Mail Label No.	EL551754370US
Title of Invention	COMPUTING SYSTEM FOR IMPLEMENTING A SHARED CACHE	

Pursuant to 37 C.F.R. § 1.213(a)(3), I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

The undersigned is an attorney/agent for applicant(s).

September 19, 2001  
Date

  
Rodney D. Johnson  
Registration No. 36,558  
Telephone No. (781) 861-6240  
Facsimile No. (781) 861-9540

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after that date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**